

Under the Paperwork Reduction Act of 1995 no Persons are required to respond to a collection of information unless it contains a valid OMR control number

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

3

## Complete if Known

Application Number	09/980,672
Filing Date	March 8, 2002
First Named Inventor	Zhou, M.
Art Unit	1654
Examiner Name	Audet, Maury
Attorney Docket Number	58981.00003.UTL

## U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
[Signature]	AA	us-6,635,249	04-23-1999	Marchionni, et al.	
	AB	us-5,530,109	06-25-1996	Goodearl, et al.	
	AC	us-5,716,930	02-10-1998	Goodearl, et al.	
	AD	us-5,367,060	11-22-1994	Vandlen, et al.	
	AE	us-6,593,290	11-04-1996	Gao, Wei Qiang	
	AF	us-6,399,746	10-14-1998	Vandlen, et al.	
	AG	us-6,387,638	07-17-1998	Ballinger, et al.	
	AH	us-6,252,051	07-30-1998	Godowski, et al.	
	AI	us-6,169,070	04-17-1996	Chen, et al.	
	AJ	us-6,156,728	10-31-1997	Gao, Wei Qiang	
	AK	us-6,136,558	02-09-1998	Ballinger, et al.	
	AL	us-6,121,415	07-24-1997	Godowski, et al.	
	AM	us-6,096,873	07-10-1997	Schaefer, et al.	
	AN	us-6,033,660	05-10-1995	Mather, et al.	
	AO	us-5,968,511	03-25-1997	Akita, et al.	
	AP	us-5,859,206	04-11-1995	Vandlen, et al.	
	AQ	us-5,856,110	05-12-1995	Vandlen, et al.	
	AR	us-5,840,525	05-31-1995	Vandlen, et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
		Country Code <sup>3</sup> "Number" <sup>4</sup> "Kind Code" <sup>5</sup> (if known)				
[Signature]	AS	WO 97/09425	03-13-1997	Harvard College		
	AT	WO 94/26298	11-24-1994	Cambridge Neuroscience, Inc.		
	AU	WO 99/18976	04-22-1999	Cambridge Neuroscience, Inc.		
	AV	WO 94/00140	01-06-1994	Ludwig Institute Cancer Research		
	AW	WO 96/15812	05-30-1996	Cambridge Neuroscience, Inc.		

Examiner  
Signature

[Signature]

Date  
Considered

02/06

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet

P

of

13


**Complete if Known**

Application Number	09/980,672
Filing Date	March 8, 2002
First Named Inventor	Zhou, M.
Art Unit	1654
Examiner Name	Audet, Maury
Attorney Docket Number	58981.00003.UTL

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
		Country Code <sup>3</sup> "Number" <sup>4</sup> "Kind Code" <sup>5</sup> (if known)				
	BC	WO 95/32724	12-07-1995	Goodearl		
	BD	WO 92/18627	10-29-1992	Goodearl		

**Examiner  
Signature**

Date Considered

02/06

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

***If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.***

**American LegalNet, Inc.**  
**www.USCourtForms.com**

PTO/SB/08b (07-05)  
Approved for use through 06/30/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
to a collection of information unless it contains a valid OMR control number.